## JC882 U.S. PTO 08/28/01

## OFFICE REQUEST FOR FILING (RULE \$3(b)(1))



## FOR DESIGN OR UTILITY APPLICATIONS (DO NOT USE FOR CIPS)

| Rule 5            |   |                                   | T APPLICATION   | <u>:</u>   |                  |                                 |                             | or =   |
|-------------------|---|-----------------------------------|---|--|------------------|---------------------------------|-----------------------------|--|
|                   | Conti   | inuation                          | )   | ndor 27 OED 4 52(b)//  | 1\               |                                 |                             |  |
| ⊠<br>of pen       | Divisi<br>ding p  | ional<br>rior applic              | )   | nder 37 CFR 1.53(b)(1  | Group Art l      | 9/9413                          |                             |  |
| Invent            | or(s):  | Homay                             | oun Talieh and C  | yprian Emeka Uzoh  | Examiner:        | D. Valentine                    |                             | j 10<br>j 00<br>j 00<br>j 00<br>j 00<br>j 00<br>j 00<br>j 00 |
| Parent            | Applr   | n. No.:                           | 09<br>Series Code û                                     | 285,621<br>Serial No. û  | _ Atty. Dkt.     | P 269250<br>New M#              | NT004D<br>Client Ref        |  |
| Parent<br>This A  |   |                                   | 1999<br>08/28/2001                                      |  |                  |                                 | '                           |  |
| Title:            | Meth  | hod and A                         | Apparatus for Pla                                       | ting and Polishing a S   | emiconductor     | Substrate                       |                             |  |
|                   |   | issioner o<br>DC 2023             | f Patents<br>31   |  | Date:/           | August 28, 2001<br>ter No253037 | 7)                          |  |
| Sir:              |   |                                   | ,   |  |                  |                                 |                             |  |
|                   |   | То є                              | effect the above-r                                      | equested filing today:   |                  |                                 |                             |  |
| 3.                | Attac   | ched is a                         | copy (which mu  | st be filed) of the prio   | r application,   | including:                      |                             |  |
|                   | $\overline{\boxtimes}$  | Abstract<br>Specifica<br>Drawings | tion and claims ( <u>;</u><br>s ( <u>must</u> be attacl | 24 pages) ( <u>must</u> be a<br>ned if originally filed)                                       | : 10 sheet(s)    | of formal drawin                | gs;<br>⊠ A4  □ <sup>-</sup> | 11"  |
| 1A.<br>(1)<br>(2) | Always X one box, only:  Copy of Signed declaration or oath as originally filed in prior application attached  NO declaration or fee is enclosed; therefore, this is a filing under Rule 53(f). |                                   |   |  |                  |                                 |                             |  |
| <b>2</b> .        |   | hereby                            | made requesting   | by filed by <u>less than all</u><br>g deletion as inventor(s<br>in this application <u>(DE</u> | s) of the follow | /ing who is/are <u>n</u>        | ot inventor(s) of the       | etition is   |
|                   |   | 1.                                |   |  | 2.               |                                 |                             | ····   |
|                   |   | 3.<br>5.                          |   |  | 4.<br>6.         |                                 |                             | <del></del>  |
|                   |   | 7.                                |   |  | 8.               |                                 |                             |  |
| 2.5               | THE   | INVENTO                           | OR(S) FOR THIS  | NEW APPLICATION  | IS(ARE):         |                                 |                             |  |
|                   |   | 1.                                |   |  | 2.               |                                 |                             |  |
|                   |   | 3.<br>5.                          |   |  | 4.<br>6.         |                                 |                             |  |
|                   |   | 7.                                |   |  | 8.               |                                 |                             |  |

3. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference thereto.

| 4.                                   |                | Priority is cl                | aimed under    | 35 U.S.C. 11                          | 19/365 bas                 | ed on filing            | g in                |                         | of                               |
|--------------------------------------|----------------|-------------------------------|----------------|---------------------------------------|----------------------------|-------------------------|---------------------|-------------------------|----------------------------------|
|                                      |                | <u>Applic</u>                 | ation No.      | <u>Fill</u>                           | ng Date                    |                         | Applica             | (country)<br>tion No.   | Filing Date                      |
|                                      | (1)            |                               |                |                                       |                            | _ (2) -                 |                     |                         |                                  |
|                                      | (3)            |                               |                |                                       |                            | <sup>(4)</sup> -<br>(6) |                     |                         |                                  |
|                                      | (၁)            |                               |                |                                       |                            | (o) _                   |                     |                         |                                  |
|                                      |                | a.                            | rtified copy/c | (No.) Certifie                        | d copy/cop<br>sly filed on | oies attach             | ed.                 |                         | in                               |
|                                      |                | _                             | U.S. Applica   | tion No.                              | Ĭ                          |                         | , file              | ed on                   | _ in                             |
|                                      |                |                               |                | series code                           | Û Û                        | serial no.              |                     |                         |                                  |
|                                      |                | _c. ∐ Cei                     | rtified copy/c | opies filed du                        | ring Interna               | ational sta             | ge of PCT/          |                         |                                  |
| 4(a).                                | (a)            |                               |                |                                       |                            |                         |                     |                         | •                                |
|                                      | (b)            | Benefit                       | is claimed of  | f Provisional A                       | Application                | No. 60/                 | / , filed _         | ·                       |                                  |
| 5.                                   | $\boxtimes$    | Assignee (d                   | optional)      | Nu                                    | Tool, Inc.                 |                         |                     |                         |                                  |
| 6.                                   |                |                               |                |                                       |                            |                         |                     |                         | uccessive ones by cover sheets.) |
|                                      |                | <b>(A :</b>                   |                |                                       |                            | 0 0                     | N4- : 4l-           | :4::                    | annlination if you               |
| andar.                               |                |                               |                | gainst the cor                        |                            |                         | neets in th         | is continuing           | application if you               |
| <b>(1)</b>                           | Pleas          | e return the r                | ecorded Ass    | ignment to the                        | e undersia                 | ned.                    |                     |                         |                                  |
| şî.                                  |                |                               |                | •                                     |                            |                         |                     |                         |                                  |
|                                      | $\square$      | •                             |                | n the prior ap                        | plication is               | to <u>Pillsbu</u>       | ry Madisor          | 1 & Sutro LLF           | ·                                |
| i. i                                 |                | e and Reg. N                  |                |                                       |                            |                         |                     |                         |                                  |
| ÇN<br>                               | wnose          | e current add                 | ress is as in  | item 8 below.                         |                            |                         |                     |                         |                                  |
| ş                                    | a. 🛛<br>Virgin | Recognize ia 22102            | as associate   | e attorney <u>Ch</u>                  | ang H. Kin                 | n, Reg. No              | . 42,727; 1         | 600 Tysons              | Boulevard, McLean,               |
| FF.<br>F.                            |                | e, Reg. <b>N</b> o. a         | nd Address)    |                                       |                            |                         |                     |                         | ••                               |
| ij<br>Fi                             | `              |                               | •              |                                       |                            |                         |                     | \$                      |                                  |
| <b>8</b> .                           |                |                               |                | ations to Inte                        |                            |                         |                     |                         |                                  |
|                                      | of Pil         | isbury wintr                  | rop LLP, 16    | 00 Tysons E                           | iouievara,                 | wcLean,                 | VA 22102            |                         |                                  |
| 9.                                   | This a         | application cla               | aims benefit   | of the followin                       | g prior US                 | applicatio              | n(s), the co        | ontents of wh           | ich are incorporated             |
|                                      |                | • •                           | 09/            |                                       | ed April                   | 3, 1999                 |                     |                         |                                  |
|                                      |                | No.                           |                |                                       | ed                         |                         |                     |                         |                                  |
|                                      |                | No.                           |                |                                       | ed                         |                         |                     |                         |                                  |
|                                      |                | No. PCT                       |                |                                       | ed                         |                         |                     |                         | , which                          |
| desigr                               | nated th       |                               |                | nal Application                       |                            | ☐ wa                    |                     | ublished unde<br>nglish | er PCT Acticle 21(2) in          |
| 9(a).                                |                | e the attache<br>above listed |                |                                       | t, which an                | nends the               | first page o        | of the specific         | cation to claim benefit          |
| 10.                                  | Small          | Entity Statu                  | ıs →           | ⊠ is <u>Not</u> cla                   | aimed                      | ☐ is cla                | nimed ( <u>pre-</u> | filing confirm          | ation <b>required</b> )          |
|                                      |                |                               | above prior a  | atement(s) ( <u>no</u><br>application | ot essentia                | l since 9/8             | /00) were/a         | are:                    |                                  |
| 11.                                  |                |                               |                | above prior a                         |                            |                         |                     |                         |                                  |
| ( <u>one</u> box<br>( <u>must</u> be |                |                               |                | in that prior applic                  |                            |                         |                     |                         |                                  |
| (X'd)                                |                |                               |                | ndency ( <b>Dou</b>                   |                            |                         |                     |                         |                                  |

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12. INFORMATION DISCLOSURE STATEMENT: Attached is Form PTO-1449 listing all of the documents cited by Applicant and the PTO in the parent application(s) relied upon under 35 USC 120 and referenced in item 9 above. Per Rule 98(d) copies of those documents are not required now. Please consider those documents and advise that they have been considered in this new application as by returning a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.
 13. Attached is a Rule 103(a) Petition to Suspend Action.
 14. Reserved

## FILING FEE THE FOLLOWING FILING FEE IS BASED ON ->->->CLAIMS AS FILED<----

NOTE: If box 1A2 is X'd, do not pay fees, but leave lines 15-22 and 27-32 blank.

|                                |             |                   |                                     | Large/Small<br>Entity      |         | Fee<br>Code       |
|--------------------------------|-------------|-------------------|-------------------------------------|----------------------------|---------|-------------------|
| 15. Basic Filing Fee           |             |                   | ign Application<br>lity Application | \$320/\$160<br>\$710/\$355 | +710    | 106/26<br>101/201 |
| 17. Total Effective Claims     | 39          | minus 20 =        | 19                                  | x \$18/\$9                 | +342    | 103/203           |
| 18. Independent Claims         | 8           | minus 3 =         | 5                                   | x \$80/\$40                | +400    | 102/202           |
| 19. If any proper multiple der | \$270/\$135 | +0                | 104/204                             |                            |         |                   |
| 20.                            |             |                   |                                     | Subtotal =                 | \$1,452 |                   |
| 21. If "petition" box 13 above |             | +0                | 122                                 |                            |         |                   |
| 21A. If box 6 above is X'd, ad | dd Assignm  | ent recording fee | \$ 40                               |                            | +40     | 581               |
| <b>22</b> .                    | T           | OTAL FILING FEE T | O BE CHARGE                         | D TO DEPOSIT<br>ACCOUNT =  | \$1,492 |                   |

(carry forward to Item 31)

The Commissioner is hereby authorized to charge the total filing fees and recordation fees accrued hereby to our Deposit Account No. 03-3975. Please reference 042496-0269250

25. See NONPUBLICATION REQUEST under Rule 213(a) attached (Pat-258)

| 33. | *If the entry in this space is  | less than a entry in the next sp | pace, the "Present Extra" result is "0" |     |  |  |  |  |
|-----|---|----------------------------------|---|-----|--|--|--|--|
| 34. | **If the "Highest number previously paid for" (see item 17 above) is less than 20, write *20" in this space ***O999** |                                  |   |     |  |  |  |  |
| 35. | . If the "Highest number previously paid for" (see item 18 above) is less than 3, write "3" in this space 00909       |                                  |   |     |  |  |  |  |
|     | Our Deposit Acco  | unt No. 03-3975                  |   |     |  |  |  |  |
|     | Our Order No.   | 042496                           | 0269250                                 |     |  |  |  |  |
|     |   | C#                               | M#                                      |     |  |  |  |  |
|     |   | . •                              | Declaration pursuant to Rule 60(b)      | • • |  |  |  |  |

hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos.

This CHARGE STATEMENT <u>does not authorize</u> charge of the <u>issue</u> <u>fee</u> until/unless an issue fee transmittal form is filed.

> **Pillsbury Winthrop LLP Intellectual Property Group**

|                   | By Atty: | Chang H. Kim   | Reg. No.     | 42,727                           |
|-------------------|----------|--|--------------|----------------------------------|
| atty./Sec CHK/MMH | Sig:     |  | Fax:<br>Tel: | (703) 905-2500<br>(650) 233-4776 |
|                   |          | uplicate with 2 postcard receipt<br>t necessary for copendency? <u>D</u> |              |                                  |

If yes, printout Pat-111 and head it in parent.

Express Mail Label: EL 904971062 US Date of Deposit: August 28, 2001

🖺 certify that this paper and listed enclosures are being deposited with the U.S. Post Office "Express Mail Post Office to Addressee" under 35 CFR 1.10 on the above date addressed to the Commissioner for Patents, Box Application, Washington, D.C. 20231

Markaret M. Hasson